

Impacts of the 2015-2016 El Niño on the California Current System: Early assessment and comparison to past events

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24 **Key Points**

- 25 • Initial impacts of the 2015-16 El Niño on the CCS physical state are evaluated
- 26 • Local anomalies much weaker than expected based on tropical El Niño strength
- 27 • Relatively weak El Niño imprint occurs on backdrop of large multi-year anomalies

28

29 **Abstract**

30 The 2015-16 El Niño is one of the strongest on record, comparable to the 1982-83 and 1997-98
31 events that triggered widespread ecosystem change in the northeast Pacific. Here, we describe
32 initial impacts of the 2015-16 El Niño on the California Current System (CCS), and place them
33 in historical context using a data assimilative regional ocean model and autonomous glider
34 observations. El Niño impacts on the physical state of the CCS are weaker than expected based
35 on tropical SST anomalies; temperature and density fields reflect persistence of multi-year
36 anomalies rather than an El Niño signature. We therefore anticipate effects of El Niño on
37 spring/summer 2016 chlorophyll concentrations to be relatively weak, though productivity
38 could still be suppressed if recent conditions persist. This study highlights the need for regional
39 El Niño indices and demonstrates the potential to assess El Niño impacts before the upwelling
40 season, when altered ecosystem functioning is most apparent.

1. Introduction

The 2015-16 El Niño continues a string of significant climate events in and around the north Pacific, following on the heels of an extreme drought that struck California beginning in 2012 [Swain *et al.*, 2014; Diffenbaugh *et al.*, 2015], large-scale anomalous warming of the northeast Pacific beginning in 2013 and reaching record high sea surface temperature (SST) in 2014-15 [Bond *et al.*, 2015], and tropical warming in 2014 that hinted at the development of a major El Niño in winter 2014-15 [Ludeschera *et al.*, 2014], but failed to develop as expected [McPhaden, 2015]. In November 2015, the widely used Niño 3.4 index indicated the warmest tropical Pacific SST anomalies on record (Fig. 1), inviting speculation that this El Niño and associated storms could alleviate drought conditions in the western United States and break up the northeast Pacific warm anomaly. At the same time, comparisons with past El Niño events of similar magnitude suggest the potential for substantial marine ecosystem impacts in the California Current System (CCS). The 1997-98 El Niño, for example, was implicated in dramatic and widespread changes to the physical, chemical, and biological environments of the CCS [e.g., Kahru and Mitchell, 2000; Bograd and Lynn, 2001; Chavez *et al.*, 2002a and references therein].

The imprint of El Niño on the CCS arrives through two mechanisms: (i) atmospheric teleconnection whereby tropical convection excites atmospheric Rossby waves that tend to strengthen the Aleutian Low and displace it to the southeast of its climatological position, reducing (increasing) the strength of upwelling (downwelling) favorable winds along the North American west coast [Hoskins and Karoly, 1981; Alexander *et al.*, 2002; Schwing *et al.*, 2002], and (ii) remote ocean forcing by equatorial and coastal Kelvin wave propagation from the tropics [Enfield and Allen, 1980; Meyers *et al.*, 1998; Strub and James, 2002], which depresses the

thermocline and nutricline in the CCS [*Huyer and Smith*, 1985; *Chavez et al.*, 2002b]. The relative importance of these two mechanisms in determining the physical and biogeochemical state of the CCS during El Niño has long been a subject of debate [e.g., *Simpson*, 1993 cf. *Huyer and Smith*, 1985], however recent studies suggest that remote forcing dominates in the southern CCS (south of Point Conception, $\sim 34.5^\circ\text{N}$), local atmospheric forcing dominates in the northern CCS (north of Cape Mendocino, $\sim 40.5^\circ\text{N}$), and the two have comparable influence in the central CCS [*Frischknecht et al.*, 2015; *Jacox et al.*, 2015a,b]. In this paper, we explore impacts of the 2015-16 El Niño on the CCS, placing current conditions in the context of widespread anomalies in recent years as well as El Niño – Southern Oscillation (ENSO) variability over multiple decades (e.g., the 1982-83 and 1997-98 El Niños). We examine physical oceanographic conditions, particularly the subsurface density field, and use our findings to infer potential bottom-up effects on productivity in the coming spring/summer off central and southern California.

2. Methods

2.1. Data

2.1.1. Temperature

We use several products to document temperature anomalies in the equatorial Pacific (Fig. 1). The Niño 3.4 Index (<http://www.cpc.ncep.noaa.gov/data/indices/>) is a measure of equatorial Pacific SST anomalies based on monthly averaged data from the Extended Reconstructed Sea Surface Temperature (ERSST) v4, using centered base periods to remove any long-term trends. Subsurface temperature variability in the tropical Pacific is characterized using 20°C isotherm depth anomalies, averaged from 2°S to 2°N , from the Global Ocean Data Assimilation System

(GODAS, <http://www.cpc.ncep.noaa.gov/products/GODAS/pentad.shtml>). Surface temperature anomalies in the CCS during the El Niños of 1982-83, 1997-98, and 2015-16 are compared using version 2 of NOAA's 0.25° optimum interpolation SST product (OISST.v2) [Reynolds *et al.*, 2007].

2.1.2. Chlorophyll

Surface chlorophyll estimates for 1998-2015 are from the merged 4 km resolution CCS dataset described by Kahru *et al.* [2012, 2015]. This product utilizes >12,000 in situ measurements to regionally optimize surface chlorophyll algorithms for each of five satellite ocean color sensors (OCTS, SeaWiFS, MERIS, MODIS-A, and VIIRS). Daily remote sensing reflectance measurements are used to produce chlorophyll estimates for each sensor. Those chlorophyll estimates are then merged, minimizing differences with observations as well as differences between sensors during overlapping time periods.

2.1.3. Underwater Gliders

Since late 2006, Spray gliders [Sherman *et al.*, 2001; Rudnick *et al.*, 2004] have continuously occupied lines 66.7, 80, and 90 of the California Cooperative Oceanic Fisheries Investigations (CalCOFI) sampling grid (Fig. 3). Each glider line extends 350-500 km offshore, takes 2-3 weeks to complete, and consists of continuous vertical profiles from the surface to 500 m depth covering ~3 km in the along-track direction. Glider data are objectively mapped to three-dimensional grids with horizontal, vertical, and temporal resolutions of 5 km, 10 m, and 10 days, respectively, using the method of Bretherton *et al.* [1976]. The mapping algorithm uses

horizontal and temporal decorrelation scales of 30 km and 60 days, respectively, which are intended to filter out high frequency variability [Rudnick and Cole, 2011].

2.2. Ocean Model

We employ a 31-year (1980-2010) regional ocean reanalysis to provide historical context for impacts of ENSO variability on the CCS. This reanalysis uses the Regional Ocean Modeling System (ROMS) with 4-Dimensional Variational (4D-Var) data assimilation and is described in detail elsewhere [Neveu *et al.*, 2016], so we provide only a brief overview here. The model domain covers the North American west coast from 30 to 48°N and from 115.5 to 134°W, with 0.1° horizontal resolution and 42 terrain-following vertical levels. Surface forcing derives from a combination of the European Centre for Medium-range Weather Forecasting 40-year (ERA-40) [Uppala *et al.*, 2005] and Interim (ERA Interim) [Dee *et al.*, 2011] reanalyses and the Cross-Calibrated Multi Platform (CCMP) wind product [Atlas *et al.*, 2011]. Conditions at the lateral boundaries are provided by the Simple Ocean Data Assimilation (SODA) reanalysis [Carton and Giese, 2008]. Assimilated data include satellite SST from the Advanced Very High Resolution Radiometer (AVHRR), Moderate Resolution Imaging Spectroradiometer (MODIS), and Advanced Microwave Scanning Radiometer-EOS (AMSR-E), satellite SSH from Archiving, Validation, and Interpretation of Satellite Oceanographic data (AVISO), and *in situ* hydrographic data from version 2a of the quality controlled ENSEMBLES database maintained by the U.K. Met Office [Ingleby and Huddleston, 2007]. In previous studies, this reanalysis and a similar configuration without data assimilation have been used to investigate physical and biogeochemical dynamics off the US west coast, including ENSO-driven variability [Jacox *et al.*, 2014; 2015a,b].

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133 **2.3. Merging Model and Glider Data**

134 The data sources described in the previous two sections, the underwater glider network off
135 central and southern California and a historical reanalysis of the CCS, cover the periods 2007-
136 present and 1980-2010, respectively. Here, we merge these two datasets to develop time series
137 extending from 1980-present, providing historical context for the conditions accompanying the
138 2015-16 El Niño. We do not attempt to fully merge the modeled and glider-based ocean state
139 estimates. Rather, we focus on a key derived variable, the depth of the 26.0 kg m^{-3} potential
140 density isopycnal ($d_{26.0}$), a proxy for pycnocline depth that is closely tied to nutrient supply and
141 resultant productivity, and can be modulated by local upwelling [*Lynn et al.*, 2003], remote (e.g.,
142 ENSO-related) forcing [*Jacox et al.*, 2015a], and regional influences [e.g., anomalous northeast
143 Pacific warming in 2014-15; *Zaba and Rudnick*, 2015]. For each of the three cross-shore glider
144 transects, we compute monthly mean $d_{26.0}$ and average across a 50 km coastal band, which is
145 chosen to reflect the region of greatest influence by coastal waves and anomalous upwelling
146 associated with El Niño. Model-based estimates of $d_{26.0}$ are similarly averaged in time and space,
147 using grid cells that overlap glider tracks. Model and glider-based estimates of $d_{26.0}$ are shown in
148 Fig. 3 for the 4-year period (2007-2010) in which they overlap. While model estimates of $d_{26.0}$
149 are strongly correlated with glider estimates ($r = 0.75 - 0.87$, Fig. S1), they are generally too
150 deep and underestimate the observed variance, consistent with a known warm bias and
151 underestimation of salinity variability at typical pycnocline depths in the model [*Neveu et al.*,
152 2016]. We therefore use 2007-2010 data to bias-correct and scale model estimates of $d_{26.0}$ on
153 each line such that they match the mean and variance of glider estimates for the overlapping

period (Fig. 3). The corrected model estimates are then combined with glider estimates to produce consistent time series of $d_{26,0}$ extending from 1981 to early 2016 (Fig. 4).

3. Tropical Pacific Temperature Anomalies

The evolution of El Niño strength, as measured by the Niño 3.4 Index, is shown in Fig. 1a for each event since 1950. While tropical SST anomalies consistently peak in late fall or winter, individual events evolve differently in the years preceding and following their peaks. The 1997-98 El Niño was notable not only for its maximum magnitude, but also for dramatic transitions out of the cool conditions of 1996-97 and into a strong La Niña beginning in late 1998. In contrast, the tropical Pacific was already anomalously warm prior to the ramp-up of the 2015-16 El Niño, owing to an aborted El Niño the year prior [McPhaden, 2015]. While the Niño 3.4 Index reached nearly identical maximal values in November 1997 and November 2015 (2.32°C vs. 2.36°C), the net warming in the year preceding the 1997 peak (i.e., from November 1996 to November 1997) was a full degree higher than in the year preceding the 2015 peak (2.68°C vs. 1.62°C). For comparison, the peak tropical SST anomaly in the 1982-83 El Niño was slightly lower than that seen in 1997-98 or 2015-16 (2.21°C), but the warming in the preceding year was closer to that seen in 1997 (2.29°C).

In the subsurface, the 20°C isotherm depth ($d_{20\text{C}}$) tracks tropical Pacific Kelvin wave generation. During the 1982-83 and 1997-98 El Niños, there is a clear eastward propagation of $d_{20\text{C}}$ anomalies, with a pronounced east-west dipole and eastern equatorial $d_{20\text{C}}$ anomalies >50 m persisting from October through at least February (Fig. 1b). While surface SST anomalies in 2015-16 suggest an event similar in magnitude to the strongest El Niños on record, the evolution

of d_{20C} has been in stark contrast to those events. Tropical Pacific d_{20C} anomalies changed little throughout 2015 and into 2016, with a persistent and relatively weak east-west dipole that appears to reflect persistence of existing anomalies rather than a building El Niño.

4. Development of the 2015-16 El Niño in the CCS Relative to Past Events

Tropical subsurface anomaly patterns during the 2015-16 El Niño, and their departure from those seen during significant past events, are mirrored in the CCS. During the winters of 1982-83 and 1997-98, surface temperature anomalies were greatest near shore, consistent with the influence of coastally trapped waves propagating from the tropics and anomalously weak upwelling (Fig. 2). During the 2015-16 El Niño, however, no such cross-shore structure was evident. Rather, anomalously warm surface temperatures were spatially uniformly distributed, more consistent with ongoing decay of the northeast Pacific warm anomaly that reached the US west coast in spring/summer 2014 [Bond *et al.*, 2015] than with a warming signature of El Niño. The subsurface tells a similar story; anomalies in the 26.0 kg m^{-3} isopycnal depth ($d_{26.0}$) were already established by mid-2014 [Zaba and Rudnick, 2016] and remained nearly constant through late 2015 into 2016 (Fig. 4). In contrast, past strong El Niños were characterized by a dramatic deepening of $d_{26.0}$ in the latter half of the year, with anomalies peaking in December/January, 1-2 months after peak tropical SST anomalies (Fig. 4). While our 35-year time series suggest that the Niño 3.4 index is generally a good proxy for wintertime $d_{26.0}$ off central and southern California ($r = 0.75-0.83$, Fig. 5), the three strongest El Niños are all significant outliers. Pycnocline depths in 1982-83 ranged from $\sim 0.5-2$ standard deviations (σ) deeper than those predicted by Niño 3.4 based on 1981-2015 data. On all three glider lines, $d_{26.0}$ in 1997-98 was $\sim 2\sigma$ deeper than that predicted by Niño 3.4. In contrast, $d_{26.0}$ in the winter of 2015-16 was $\sim 1\sigma$ shallower than that

predicted by the Niño 3.4 anomaly (Fig. 4), again highlighting the relatively weak expression of this particular El Niño in the CCS.

5. Impacts on Primary Production in the Central and Southern CCS

The 1982-83 and 1997-98 El Niños were both followed by sharp reductions in spring/summer phytoplankton biomass off the California coast [*Fiedler, 1984; Kahru and Mitchell, 2000*]. These reductions were attributed to weak upwelling and/or a deep pycnocline/nutricline, each of which decreases nutrient supply to the surface mixed layer. Here, we explore the relationship between mid-winter (December-February) $d_{26,0}$ variability and biological impacts the following spring/summer (April-July) using an Empirical Orthogonal Function (EOF) decomposition of the surface chlorophyll field from 30° to 40°N and from the coast to 300 km offshore. The first EOF has little spatial structure south of San Francisco Bay (~38°N), capturing broad-scale interannual variability in spring/summer chlorophyll concentrations. EOF1 explains 32% of the observed variance, and is significantly negatively correlated with $d_{26,0}$ on all three glider lines ($r \approx -0.7$, Fig. 6), suggesting that the physical ocean state in winter is a useful leading indicator of upwelling season productivity. Interestingly, the correlation between EOF1 and the Niño 3.4 Index is much weaker ($r = -0.53$), further demonstrating the limitations of projecting El Niño impacts on the CCS from tropical SST anomalies alone. Note that the lower modes of chlorophyll variability (EOF2, 11% explained variance; EOF3, 10% explained variance) describe spatially heterogeneous fluctuations and are not strongly correlated with $d_{26,0}$ ($r = 0.14-0.27$) or Niño 3.4 ($r = 0.11-0.13$). Based solely on $d_{26,0}$ in winter 2015-16 and the linear fits in Fig. 6, spring/summer 2016 chlorophyll anomalies off central and southern California should be negative, but of far smaller magnitude than those seen in 1998. However, in the past two years,

unprecedented warming of the northeast Pacific brought strongly negative chlorophyll anomalies (Fig. 6), as well as dramatic impacts on species abundance and distribution throughout the marine food web [Bond *et al.*, 2015; Peterson *et al.*, 2016]. We can therefore envision scenarios for spring/summer 2016 where (i) the background ocean state returns to something near the climatological mean, and surface chlorophyll anomalies are much smaller than they were in 1998 (blue dot in Fig. 6), or (ii) the impact of multi-year anomalous conditions persists, and while El Niño is not the primary cause, chlorophyll levels are comparable to those seen in 1998 (lower blue error bar in Fig. 6).

6. Conclusion

We have shown here the initial physical oceanographic response of the California Current System to the 2015-2016 El Niño. While tropical Pacific SST anomalies reached record highs in late 2015, they did not translate to strong equatorial Kelvin wave generation, as evidenced by relatively small perturbations to subsurface isotherms. The subsurface response in the central and southern CCS was similarly weak, especially when compared to the 1982-83 and 1997-98 El Niños. We therefore expect the direct impacts of the 2015-16 El Niño on primary production in the CCS to be weaker than in the 1982-83 or 1997-98 El Niños, however it is important to note that the 2015-16 El Niño is occurring on a backdrop of multi-year highly anomalous conditions that have drastically impacted the ecosystem already. ENSO events come in many flavors [Capotondi *et al.*, 2015], and the disparity in CCS responses to El Niño events of similar magnitude (based on widely used indices) highlights the need for more holistic metrics of ENSO events and/or regional metrics of their effects. Our study shows one such example ($d_{26,0}$), which correlates strongly with ENSO indices but is a much better predictor of biological impacts. Our

study reflects technological advances that now enable near real time assessment of an ongoing El Niño, particularly its regional impacts in the context of historical variability, based on the best available data from ocean models, satellites, and autonomous platforms.

Acknowledgments

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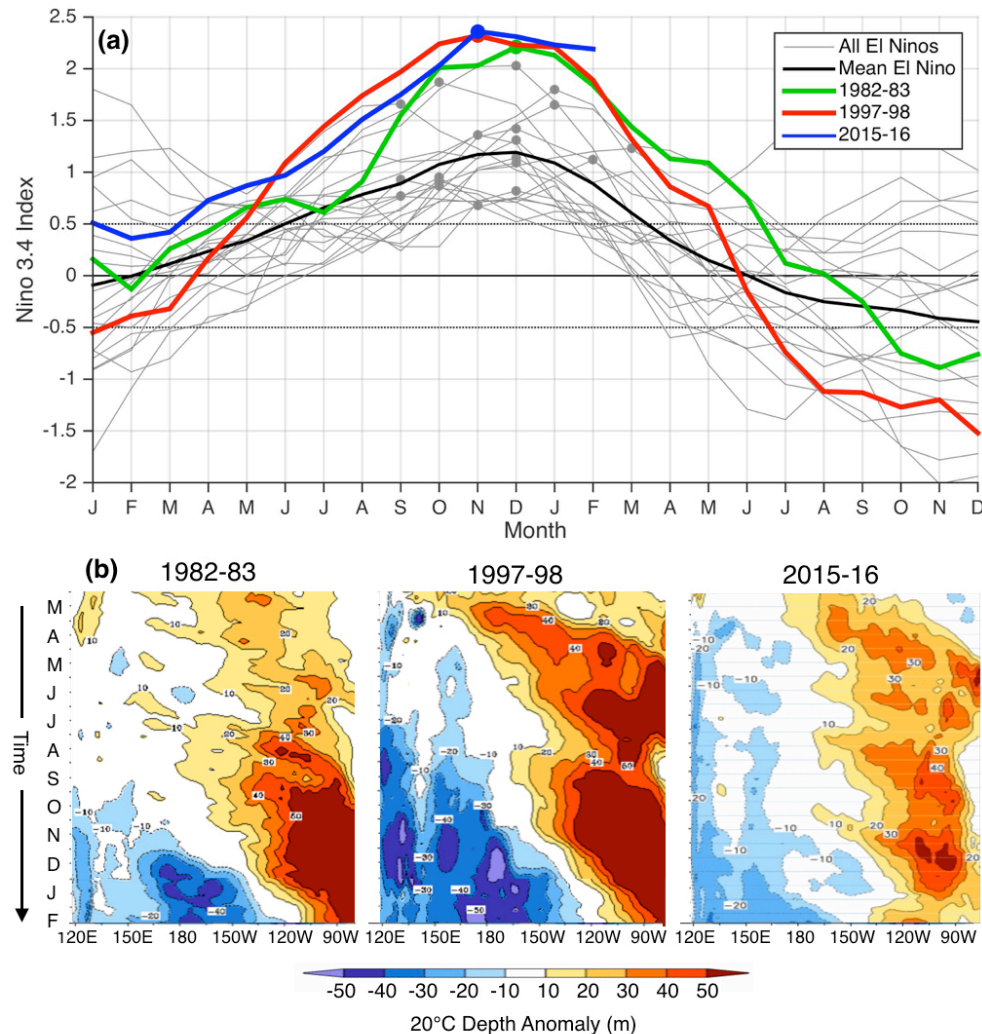
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360 Figures



361
 362 Figure 1: Surface and subsurface evolution of the equatorial Pacific temperature field during El
 363 Niño events. (a) Two-year progression of the Niño 3.4 Index for each El Niño since 1950.
 364 Circles indicate peak Niño 3.4 amplitude for each event. Dotted lines mark thresholds used to
 365 define El Niño and La Niña events. (b) Hovmöller plots of 20°C isotherm depth anomalies across
 366 the equatorial Pacific, averaged from 2°S to 2°N, for March to February of 1982-83, 1997-98,
 367 and 2015-16 (note time progresses from top to bottom). Panel (b) was adapted from
 368 <http://www.cpc.ncep.noaa.gov/products/GODAS/pentad.shtml>.

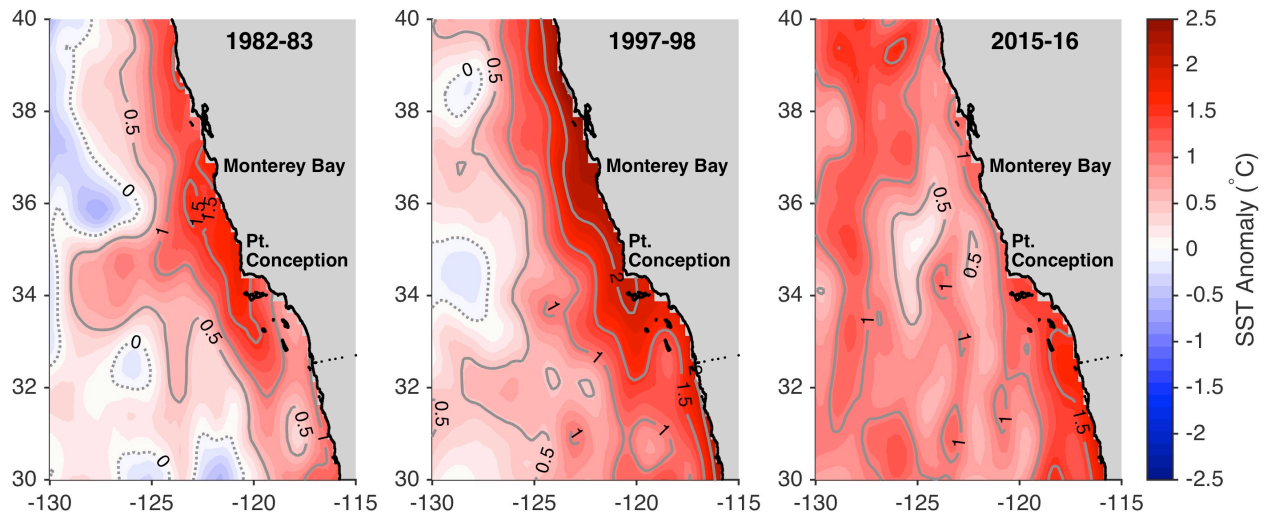


Figure 2: December-February mean SST anomalies for the winters of 1982-83, 1997-98, and 2015-16, from NOAA's 0.25° Optimal Interpolation SST (OISST) product.

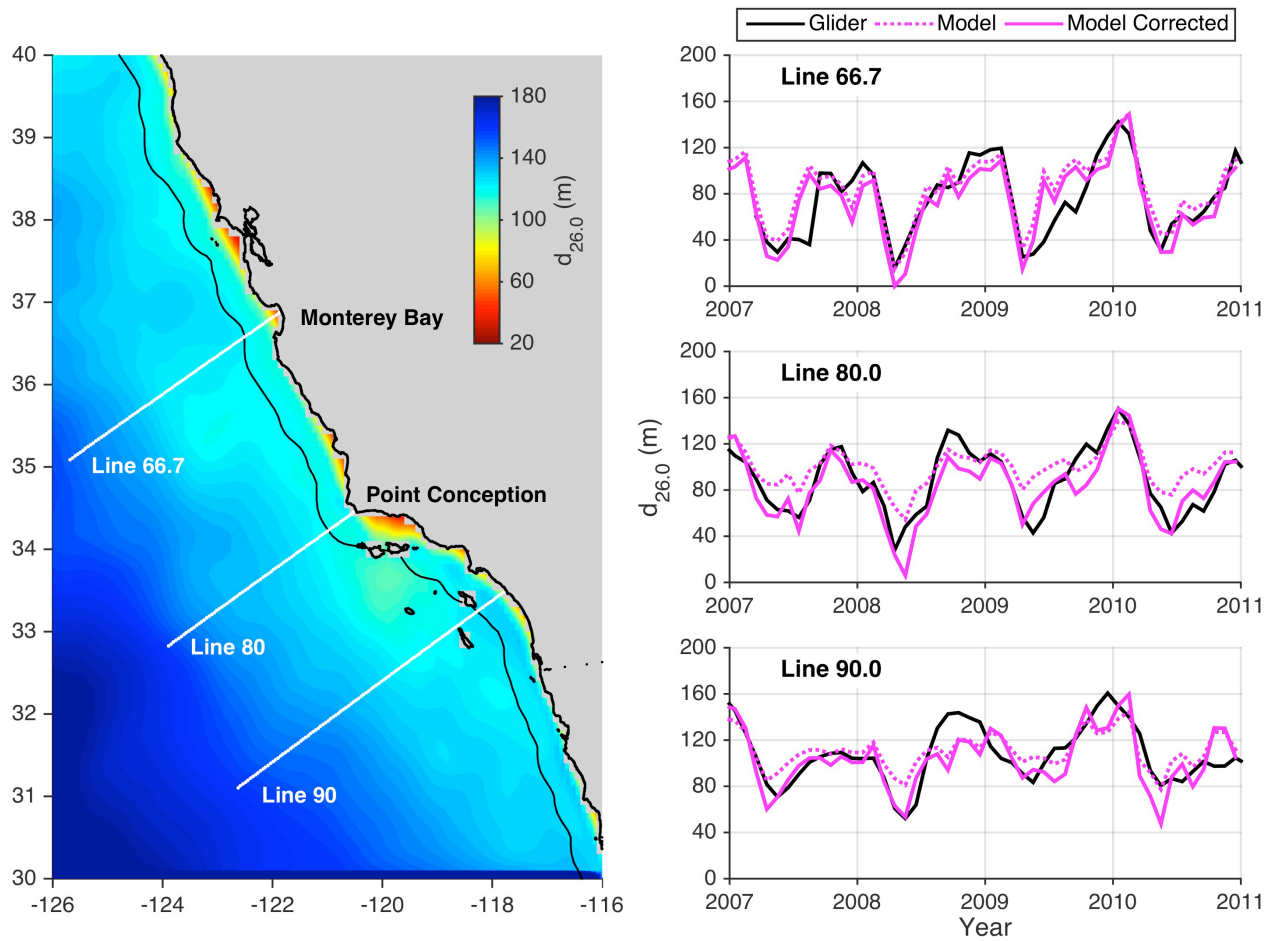
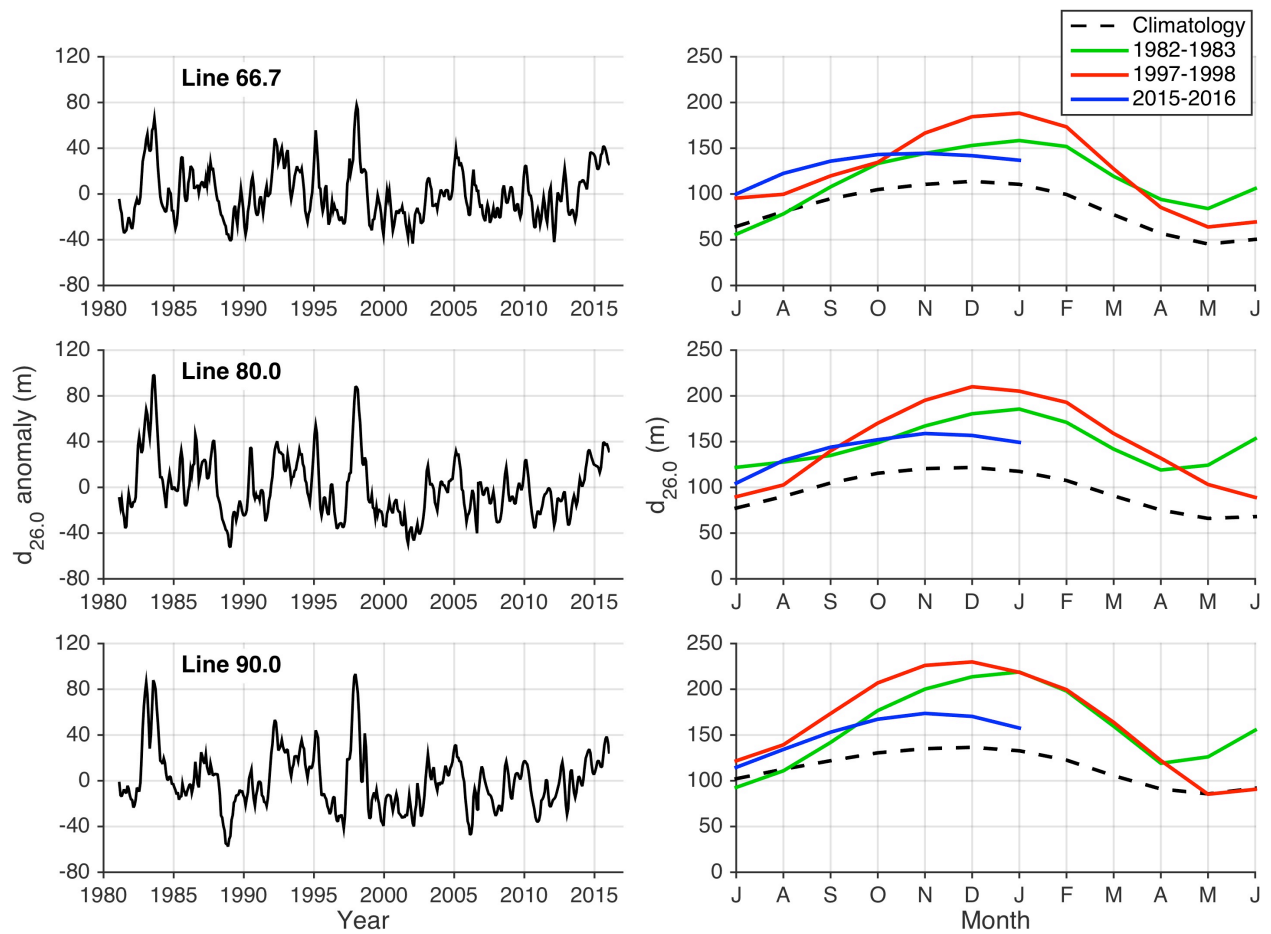


Figure 3: (left) December-February mean depth of the 26.0 kg m^{-3} isopycnal ($d_{26.0}$), computed from the ROMS reanalysis for the years 1981-2010. White lines are nominal positions of glider tracks and a black contour marks 50 km from shore. (right) Glider and ROMS estimates of $d_{26.0}$ for the overlapping period. Isopycnal depths were averaged within 50 km of the coast. The corrected model time series has the same mean and variance as the glider data (Section 2.3).



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Figure 4: (left) Jan. 1981 – Feb. 2016 time series of $d_{26.0}$ anomalies from the merged model-

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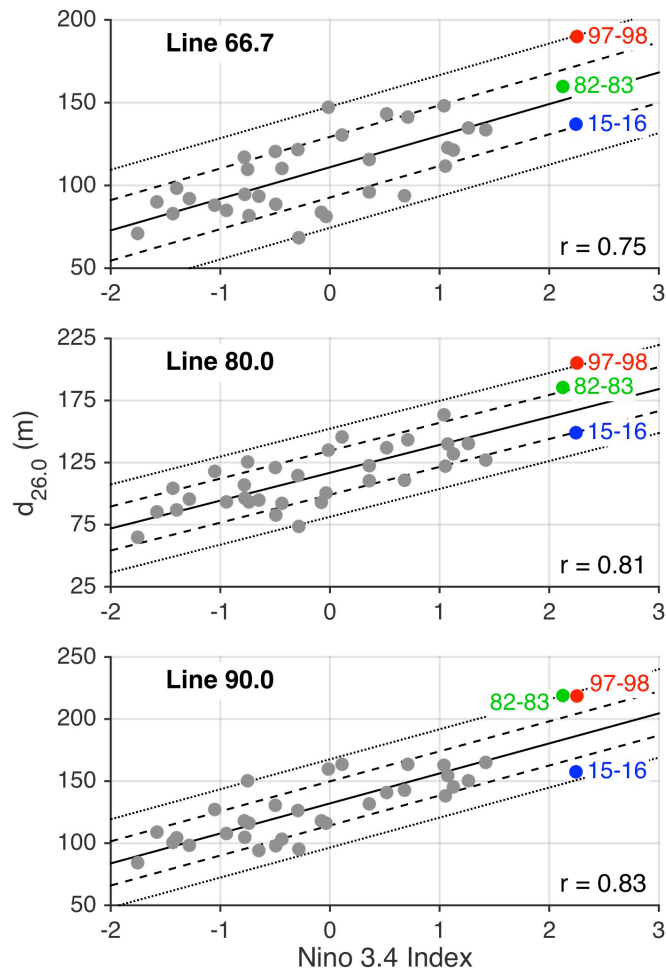
glider data. (right) 12-month (July-June) evolution of $d_{26.0}$ during the 1982-83, 1997-98, and

381

2015-16 El Niños, as compared to the 1981-2015 climatology. All time series are smoothed with

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a 3-month running mean.



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Figure 5: December-February mean $d_{26.0}$ (from merged model-glider data) plotted against

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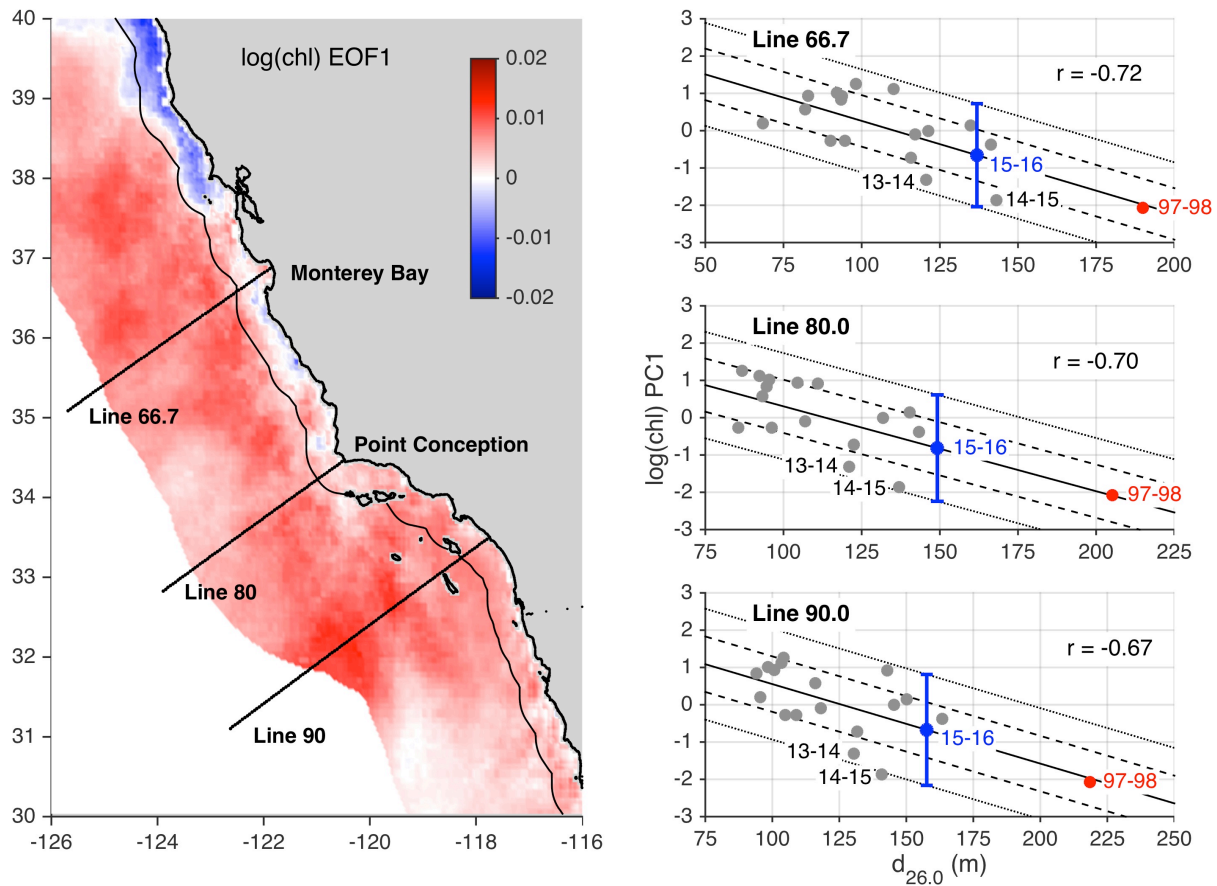
November-January mean Niño 3.4 anomalies for each winter from 1981-82 to 2015-16.

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Isopycnal depths are averaged within 50 km of shore. Solid lines are linear fits to the data,

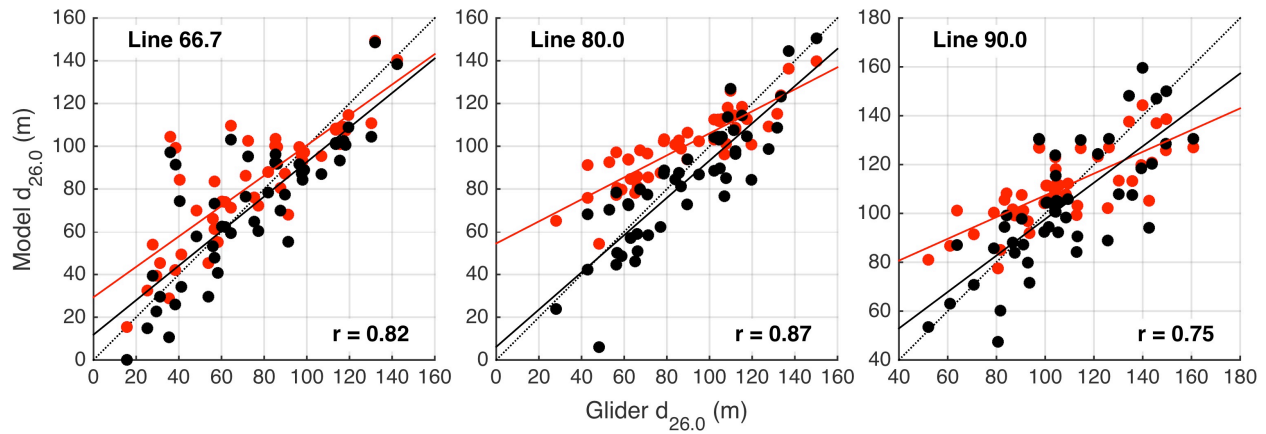
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dashed and dotted lines are ± 1 and ± 2 standard deviations from the linear fit.



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389 Figure 6: (left) Leading EOF of surface chlorophyll in a 300 km wide coastal band from 30 to
 390 40°N. Chlorophyll was log-transformed and averaged from April to July before computing
 391 EOFs. EOF1 captures 32% of the observed variance. (right) Amplitude of PC1 (corresponding to
 392 EOF1) plotted against December-February mean $d_{26.0}$, averaged within 50 km of shore along
 393 each glider line. Solid black lines are linear fits to the data, dashed and dotted lines are ± 1 and ± 2
 394 standard deviations about the linear fit. Note that scatter plots describe the relationship between
 395 winter $d_{26.0}$ and chlorophyll the following spring (e.g., '97-98' is log(chl) PC1 for April-July
 396 1998 plotted against $d_{26.0}$ for Dec. 1997-Feb. 1998). The 95% confidence intervals for
 397 spring/summer 2016 chlorophyll (blue lines) are estimated based on observed winter 2015-16
 398 $d_{26.0}$.



399

400 Figure S1: Comparison of monthly averaged glider and model-derived estimates of 26.0 kg m⁻³
 401 isopycnal depth, averaged within 50 km of the coast, for each glider line in the period of
 402 model/glider overlap (2007-2010). Red markers show uncorrected model data while black
 403 markers use bias- and variance-corrected model estimates. Solid lines are linear fits to the data;
 404 dotted line is 1:1. Note that bias and variance correction does not change the correlation
 405 coefficient, r.